1/ 13

400 Garden City Plaza - Suite 300 Garden City, New York 11530 (516) 742-4343 - Telephone (516) 742-4366 - Facsimile e-mail: intprop@ssmp.com

SCULLY, SCOTT, MURPHY & PRESSER

RECEIVED **CENTRAL FAX CENTER**

NOV 2 2 2005



Examiner Shrinivas H. Rao

From: Leslie S. Szivos

To:

Art Unit: 2814

571-273-8300 Fax

Pages: 13 pages including fax cover sheet

Phone:

Re:

11/22/2005 Date:

U.S. Patent Appln. No. 09/936,320 Docket: YOR919990123US2 (18661)

CC:

Confirmation No. 3832

RESPONSE TO OFFICE ACTION

Please see the following documents:

- 1. Amendment Transmittal (in duplicate)
- 2. Authorization to charge IBM deposit account
- 3. Response under 37 C.F.R. § 1.111
- 4. Certificate of transmission by facsimile dated November 22, 2005

If you have any questions concerning this facsimile please contact Vivian Henriquez at 516-742-4343. Thank you.

CONFIDENTIALITY: The documents accompanying this facsimile transmission may contain information which is either confidential or legally privileged and is intended only for the authorized use of the individual or entity named above without right or publication or republication, dissemination or disclosure except as expressly set forth or established by course of dealing. All rights are reserved. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution or use of the contents of this facsimile is prohibited. If you received this transmission in error, please notify us immediately by telephone to arrange for return of the documents.

RECEIVED CENTRAL FAX CENTER

11-22-05; 4:29PM;SSMP FAX

NOV 2 2 2005

;5167424366

2/ 13

CERTIFICATE OF	TRANSMISSION BY FAC	SIMILE (37 CFR 1.8)		Docket No.				
Applicant(s): Jack O. Cl	YC)R919990123US2 (18661)						
Angliantian Na	Filler Data			Croup Art Unit				
Application No.	Filing Date	Examiner		Group Art Unit				
09/936,320	September 12, 2001	Shrinivas H. Rao	2814					
Invention: HIGH SPEED Ge CHANNEL HETEROSTRUCTURES FOR FIELD EFFECT DEVICES								
With the state of								
I hereby certify that this	RES	PONSE UNDER 37 C.F.R. § 1. (Identify type of correspondence)	.111					
is being facsimile transm	itted to the United States Patent		No. 5	71-273-8300				
		•	_					
on November 2	2, 2005							
(Date)								
		Leslie S, Szi9	as Dh'i	n				
		(Typed or Printed Name of Pers						
		1/3						
		(Signature	<i>(</i> e)					
(Signature)								
	Note: Each paper must ha	ve its own certificate of mailing.						

NOV 2 2 2005

AMENDMENT TRANSMITTAL LETTER (Large Entity) Applicant(s): Jack Oon Chu					Docket No. YOR919990123US2 (18661)			
Application No. 09/936,320	Filing Date September 12, 2001	Examiner Shrinivas H. Rao		ustomer No. 23389	Group Art Uni 2814	Confirmation No. 3832		
Invention: HIGH SPEED Ge CHANNEL HETEROSTRUCTURES FOR FIELD EFFECT DEVICES								
		COMMISSIONER FO	R PATEN	TS:				
		n the above-identified a			•			
		CLAIMS AS AM	ENDED					
	CLAIMS REMAINING	HIGHEST #	NUMBER		RATE	ADDITIONAL		
TOTAL OLAIMS	AFTER AMENDMENT -	PREV. PAID FOR 129 =	CLAIMS PE		\$50.00	FEE \$0.00		
INDEP. CLAIMS		10 =	0	`	\$200.00	\$0.00		
L	t Claims (chack if appl		<u> </u>	<u></u>	Ψ250.00	\$0.00		
Multiple Dependent Claims (check if applicable) TOTAL ADDITIONAL FEE FOR THIS AMENDMENT \$0.00								
No additional fee is required for amendment. ☐ Please charge Deposit Account No. in the amount of ☐ A check in the amount of to cover the filing fee is enclosed. ☐ The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 50-0510/IBM ☐ Any additional filling fees required under 37 C.F.R. 1.16. ☐ Any patent application processing fees under 37 C.F.R. 1.17. ☐ Payment by credit card. Form PTO-2038. WARNING: Information on this form may become public. Credit card Information should not be Included on this form. Provide credit card Information and authorization on PTO-2038. Dated: November 22, 2005 Lestie S. Szivos, Ph.D. Registration No. 39,394 SCULLY, SCOTT, MURPHY & PRESSER								
class real in an envelope addressed to "Commissioner for Patents, P.O. Box N 50, Alexandria, VA 22313-1450" [27 CFR 1.8(a)] on (Date) Signature of Person Mailing Correspondence Typed or Printed Name of Person Mailing Correspondence								

AMENDMENT TRANSMITTAL LETTER (Large Entity) Applicant(s): Jack Oon Chu						Docket No. YOR919990123US2 (18661)		
Application No. 09/936,320	Filing Date September 12, 2001	Examiner Shrinivas H. Rao		Customer No 23389	Group Art Ur 2814	oit Confirmation No. 3832		
Invention: HIGH SPEED Ge CHANNEL HETEROSTRUCTURES FOR FIELD EFFECT DEVICES								
		COMMISSIONER FO						
		n the above-identified a _l mitted as shown below.	•	n.				
		CLAIMS AS AM	ENDED					
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR		PRESENT	RATE	ADDITIONAL		
TOTAL CLAIMS	11 -	129 =		0 >	\$50.00	\$0.00		
INDEP. CLAIMS	1 -	10 =		0 >	\$200.00	\$0.00		
Multiple Dependen	Multiple Dependent Claims (check if applicable)							
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT \$0.00								
No additional fee is required for amendment. ☐ Please charge Deposit Account No. in the amount of ☐ A check in the amount of to cover the filling fee is enclosed. ☐ The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 50-0510/IBM ☐ Any additional filling fees required under 37 C.F.R. 1.16. ☐ Any patent application processing fees under 37 CFR 1.17. ☐ Payment by credit card. Form PTO-2038. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Dated: November 22, 2005 Lestie S. Szivos, Ph.D. Registration No. 39,394 SCULLY, SCOTT, MURPHY & PRESSER 400 Garden City Plaza - Suite 300 Garden City, New York 11530 Lestie S. Szivos, Ph.D. Lestie S. Szivos, Ph.D.								
Signature of Person Mailing Correspondence CC: Typed or Printed Name of Person Mailing Correspondence								

RECEIVED CENTRAL PAX CENTER

NOV 2 2 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jack Oon Chu

Examiner: Shrinivas H. RAO

Serial No: 09/936,320

Art Unit: 2814

Filed: September 12, 2001

Docket: YOR919990123US2 (18661)

For: HIGH SPEED Ge CHANNEL HETEROSTRUCTURES FOR

Dated: November 22, 2005

FIELD EFFECT DEVICES

Confirmation No: 3832

Mail Stop Amendment
Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE UNDER 37 C.F.R. §1.111

Sir:

In response to the Office Action dated August 25, 2005, Applicants submit the following amendments and remarks for entry into the record of the above-identified patent application.

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this document is being filed in the United States Patent and Trademark Office on the date shown below via facsimile transmission to Mail Stop Amendment, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 at United States Patent and Trademark Office facsimile transmission number (571) 273-8300.

Dated: November 22, 2005

Leslie S. Szivos, Ph.D.